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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

MARCOS LOPEZ DE PRADO

Plaintiff,

against –

GUGGENHEIM PARTNERS, LLC; GUGGENHEIM
INSURANCE SERVICES, LLC; GUGGENHEIM LIFE
AND ANNUITY COMPANY; GUGGENHEIM
INVESTMENT ADVISORS, LLC; DELAWARE LIFE
INSURANCE COMPANY; DANIEL TOWRISS;
DAVID TOWRISS; DALE UTHOFF; RYAN CLOUD;
ERIN KING; MICHAEL BLOOM; MICHAEL
MORAN; KEVIN M. ROBINSON; DAVID L.
KORMAN; and ROBERT A. SAPERSTEIN,

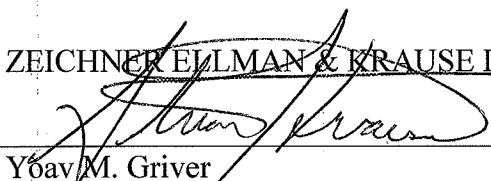
Defendants.

Case No. 18-cv-00058 (LTS)

**NOTICE OF VOLUNTARY
DISMISSAL PURSUANT TO
F. R. Civ. P. 41(a)(1)(A)**

Pursuant to F.R. Civ. P. 41(a)(1)(A) of the Federal Rules of Civil Procedure, the
Plaintiff Marcos Lopez de Prado, and his counsel hereby give notice that the above-captioned
action is voluntarily dismissed as against Defendants.

Dated: New York, New York
January 16, 2018

ZEICHNER ELLMAN & KRAUSE LLP
By: 
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Defendants.

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CERTIFICATE OF SERVICE

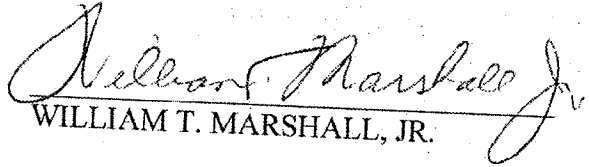
WILLIAM T. MARSHALL, JR. hereby certifies:

1. I am a partner with Zeichner Ellman & Krause LLP, counsel for Plaintiff Marcos Lopez de Prado.

2. On Tuesday, January 16, 2018, I caused a copy of the within Notice of Voluntary Dismissal Pursuant to F. R. Civ. P. 41(a)(1)(A) to be served upon Michael P. Roche, Esq. and Alexandra J. Schaller, Esq., of Winston & Strawn LLP, Attorneys for Defendants, 35 W. Wacker Drive, Chicago, Illinois 60601, by e-mail and by first class mail with proper prepaid postage affixed and deposited in a depository under the exclusive control of the U.S. Postal Service. A copy of same was also served by Hand Delivery to Michael P. Roche, Esq., Winston & Strawn LLP, at 200 Park Avenue, New York, New York 10166-4193 and Michael P. Roche, Esq., c/o Guggenheim Partners, LLC, 330 Madison Avenue, New York, New York 10017.

I hereby certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

DATED: January 16, 2018


WILLIAM T. MARSHALL, JR.